

Binary Search Trees

CLRS 12.1 – 12.3

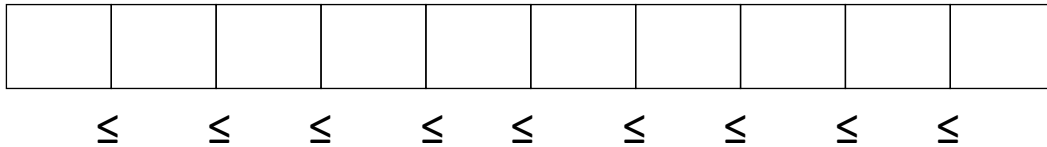
(+ some supplemental material)

(Supplemental): What is *Binary Search*?

- "Binary Search" vs. "Binary Search Tree (BST)"
- To understand a BST, let's talk first about what a **binary search** is

Binary Search – occurs on an **array** of sorted items

- Find an element k
- After checking a key j in the sequence, we can tell if item with key k will come before or after it

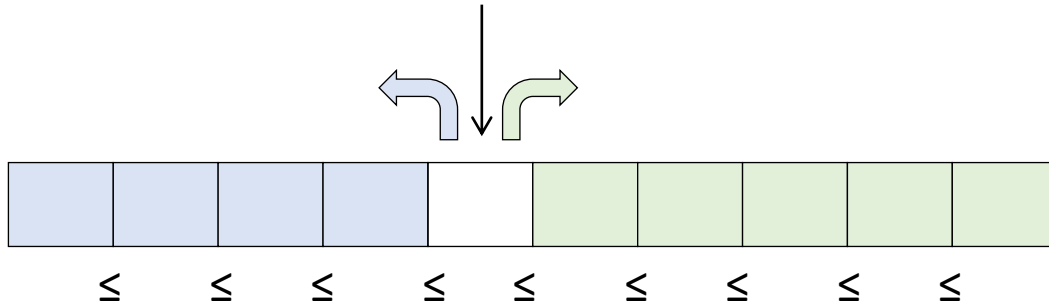


(Supplemental): What is *Binary Search*?

- "Binary Search" vs. "Binary Search Tree (BST)"
- To understand a BST, let's talk first about what a **binary search** is

Binary Search – occurs on an **array** of sorted items

- Find an element k
- After checking a key j in the sequence, we can tell if item with key k will come before or after it
- Which item should we compare against first?
 - The middle!



Ex. Binary Search: Find $k = 52$

Algorithm BinarySearch($S, k, low, high$):

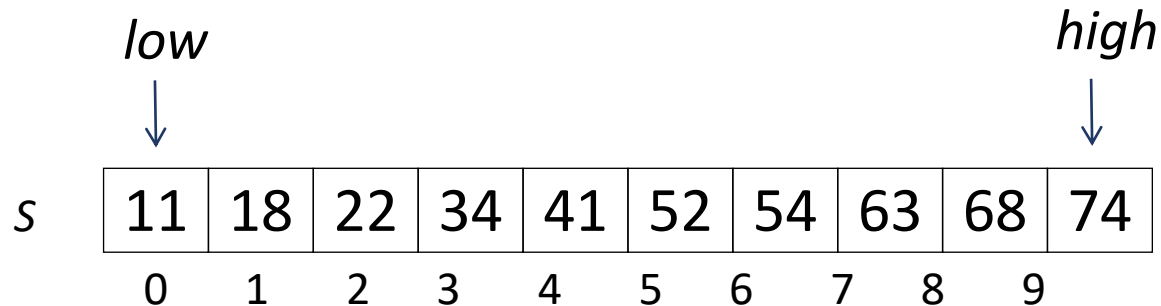
if $low > high$ **then return** NO_SUCH_KEY

$mid \leftarrow \lfloor (low + high) / 2 \rfloor$

if $key(mid) = k$ **then return** $elem(mid)$

if $key(mid) < k$ **then return** $BinarySearch(S, k, mid + 1, high)$

if $key(mid) > k$ **then return** $BinarySearch(S, k, low, mid - 1)$



Ex. Binary Search: Find $k = 52$

Algorithm BinarySearch($S, k, low, high$):

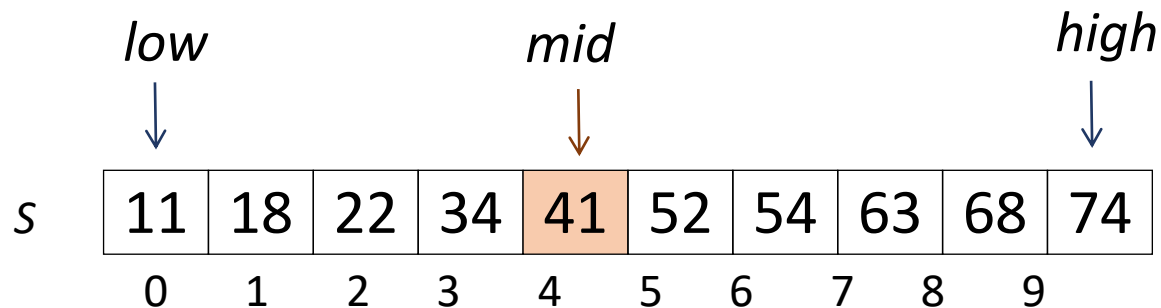
if $low > high$ **then return** NO_SUCH_KEY

$mid \leftarrow \lfloor (low + high) / 2 \rfloor$

if $key(mid) = k$ **then return** $elem(mid)$

if $key(mid) < k$ **then return** $BinarySearch(S, k, mid + 1, high)$

if $key(mid) > k$ **then return** $BinarySearch(S, k, low, mid - 1)$



Ex. Binary Search: Find $k = 52$

Algorithm BinarySearch($S, k, low, high$):

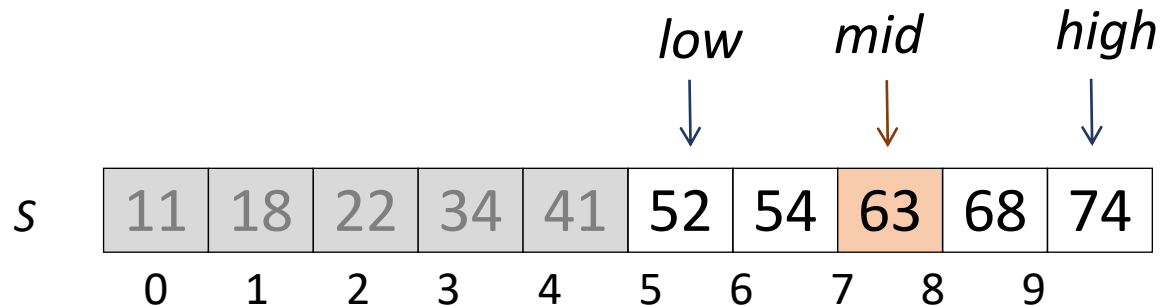
if $low > high$ **then return** NO_SUCH_KEY

$mid \leftarrow \lfloor (low + high) / 2 \rfloor$

if $key(mid) = k$ **then return** $elem(mid)$

if $key(mid) < k$ **then return** $BinarySearch(S, k, mid + 1, high)$

if $key(mid) > k$ **then return** $BinarySearch(S, k, low, mid - 1)$



Ex. Binary Search: Find $k = 52$

Algorithm BinarySearch($S, k, low, high$):

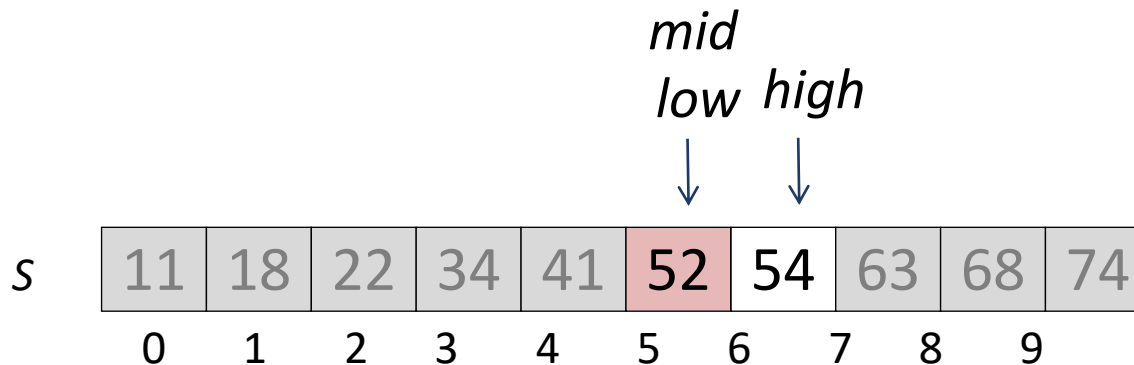
if $low > high$ **then return** *NO_SUCH_KEY*

mid $\leftarrow \lfloor (low + high) / 2 \rfloor$

if $key(mid) = k$ **then return** *elem(mid)*

if $key(mid) < k$ **then return** *BinarySearch(S, k, mid + 1, high)*

if $key(mid) > k$ **then return** *BinarySearch(S, k, low, mid - 1)*



Binary Search

Algorithm BinarySearch($S, k, low, high$):

if $low > high$ **then return** NO_SUCH_KEY

$mid \leftarrow \lfloor (low + high) / 2 \rfloor$

if $key(mid) = k$ **then return** $elem(mid)$

if $key(mid) < k$ **then return** $BinarySearch(S, k, mid + 1, high)$

if $key(mid) > k$ **then return** $BinarySearch(S, k, low, mid - 1)$

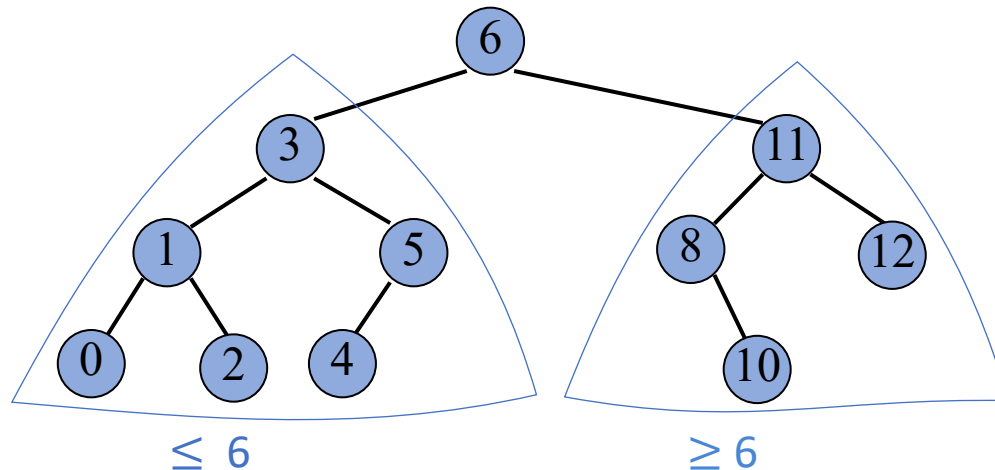
Each successive call to BinarySearch halves the input, so the running time is **$O(\log n)$**

Now ... Binary Search Trees (BSTs)

They are trees! Not arrays.

Binary Search Tree (BST)

- An implementation of an **ordered dictionary**
 - We can search for an item based on its key
 - Keys have some inherent order to them
- A **binary search tree** is a binary tree where each internal node stores a (key, element)-pair, and
 - each element in the **left subtree is smaller** than or equal to the root
 - each element in the **right subtree is larger** than or equal to the root
 - the left and right subtrees are binary search trees
- An inorder traversal visits items in ascending order



BST – Tree-Insert(T, k)

- Idea: find a free spot in the tree and add a node which stores that item k
- Strategy
 - start at root r
 - if $k < \text{key}(r)$, continue in left subtree
 - otherwise, continue in right subtree
- Runtime is $O(h)$, where h is the height of the tree
- Ex: Insert the numbers 22, 80, 18, 9, 90, 20.

BST – Tree-Insert(T, k)

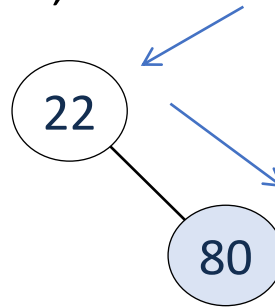
- Idea: find a free spot in the tree and add a node which stores that item k
- Strategy
 - start at root r
 - if $k < \text{key}(r)$, continue in left subtree
 - otherwise, continue in right subtree
- Runtime is $O(h)$, where h is the height of the tree
- Ex: Insert the numbers 22, 80, 18, 9, 90, 20.



22

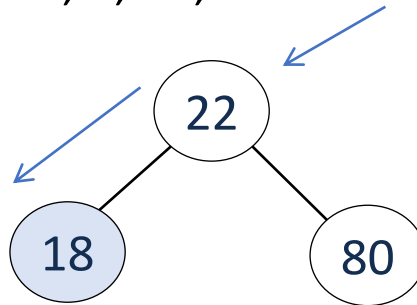
BST – Tree-Insert(T, k)

- Idea: find a free spot in the tree and add a node which stores that item k
- Strategy
 - start at root r
 - if $k < \text{key}(r)$, continue in left subtree
 - otherwise, continue in right subtree
- Runtime is $O(h)$, where h is the height of the tree
- Ex: Insert the numbers 22, 80, 18, 9, 90, 20.



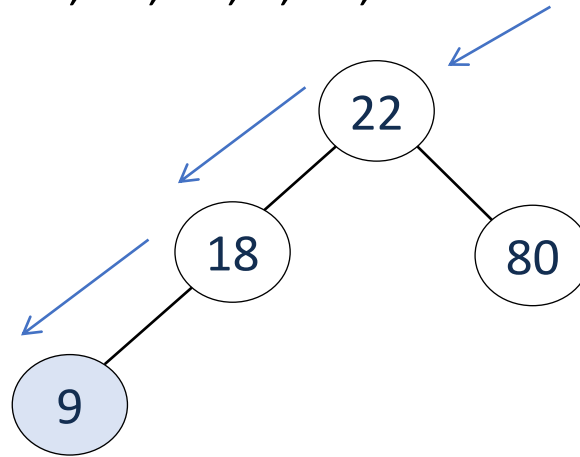
BST – Tree-Insert(T, k)

- Idea: find a free spot in the tree and add a node which stores that item k
- Strategy
 - start at root r
 - if $k < \text{key}(r)$, continue in left subtree
 - otherwise, continue in right subtree
- Runtime is $O(h)$, where h is the height of the tree
- Ex: Insert the numbers 22, 80, 18, 9, 90, 20.



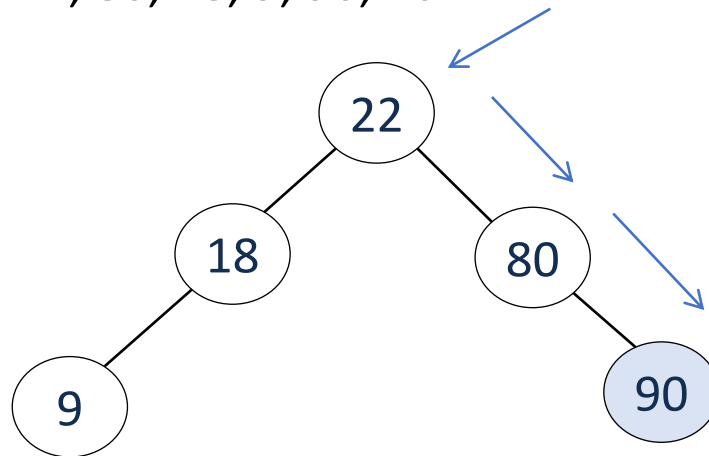
BST – Tree-Insert(T, k)

- Idea: find a free spot in the tree and add a node which stores that item k
- Strategy
 - start at root r
 - if $k < \text{key}(r)$, continue in left subtree
 - otherwise, continue in right subtree
- Runtime is $O(h)$, where h is the height of the tree
- Ex: Insert the numbers 22, 80, 18, 9, 90, 20.



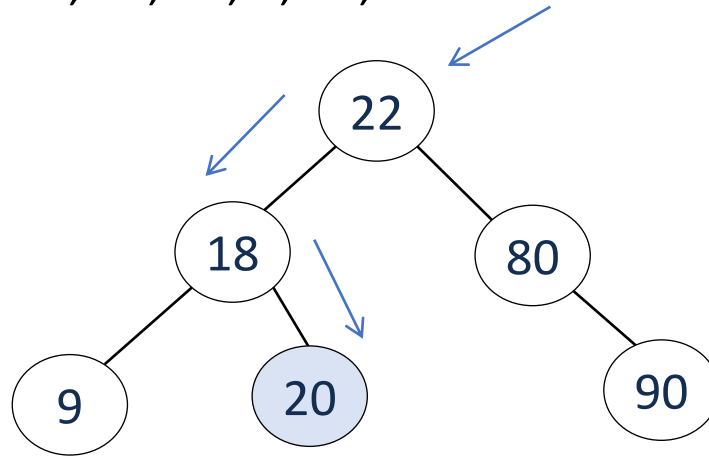
BST – Tree-Insert(T, k)

- Idea: find a free spot in the tree and add a node which stores that item k
- Strategy
 - start at root r
 - if $k < \text{key}(r)$, continue in left subtree
 - otherwise, continue in right subtree
- Runtime is $O(h)$, where h is the height of the tree
- Ex: Insert the numbers 22, 80, 18, 9, 90, 20.



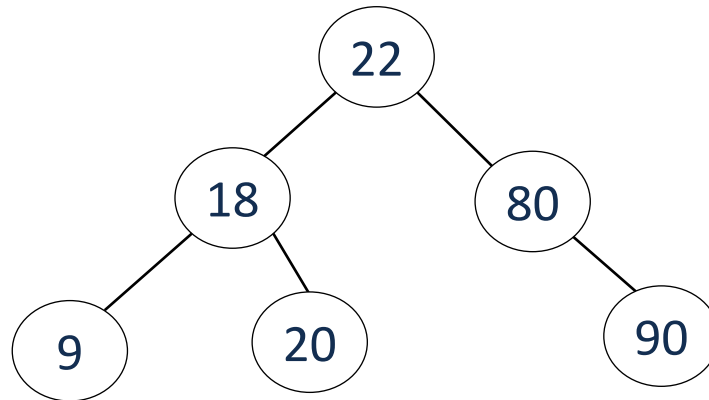
BST – Tree-Insert(T, k)

- Idea: find a free spot in the tree and add a node which stores that item k
- Strategy
 - start at root r
 - if $k < \text{key}(r)$, continue in left subtree
 - otherwise, continue in right subtree
- Runtime is $O(h)$, where h is the height of the tree
- Ex: Insert the numbers 22, 80, 18, 9, 90, 20.



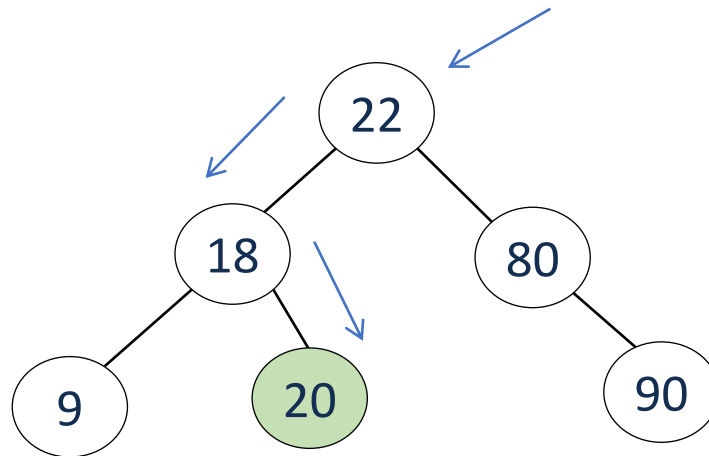
BST – Tree-Insert(T, k)

- Idea: find a free spot in the tree and add a node which stores that item k
- Strategy
 - start at root r
 - if $k < \text{key}(r)$, continue in left subtree
 - otherwise, continue in right subtree
- Runtime is $O(h)$, where h is the height of the tree
- Ex: Insert the numbers 22, 80, 18, 9, 90, 20.



BST – Tree-Search(T, k)

- Idea: find item k
- Strategy
 - start at root r
 - if $k = \text{key}(r)$, return r
 - if $k < \text{key}(r)$, continue in left subtree
 - if $k > \text{key}(r)$, continue in right subtree
- Runtime is $O(h)$, where h is the height of the tree
- Ex: Find 20.



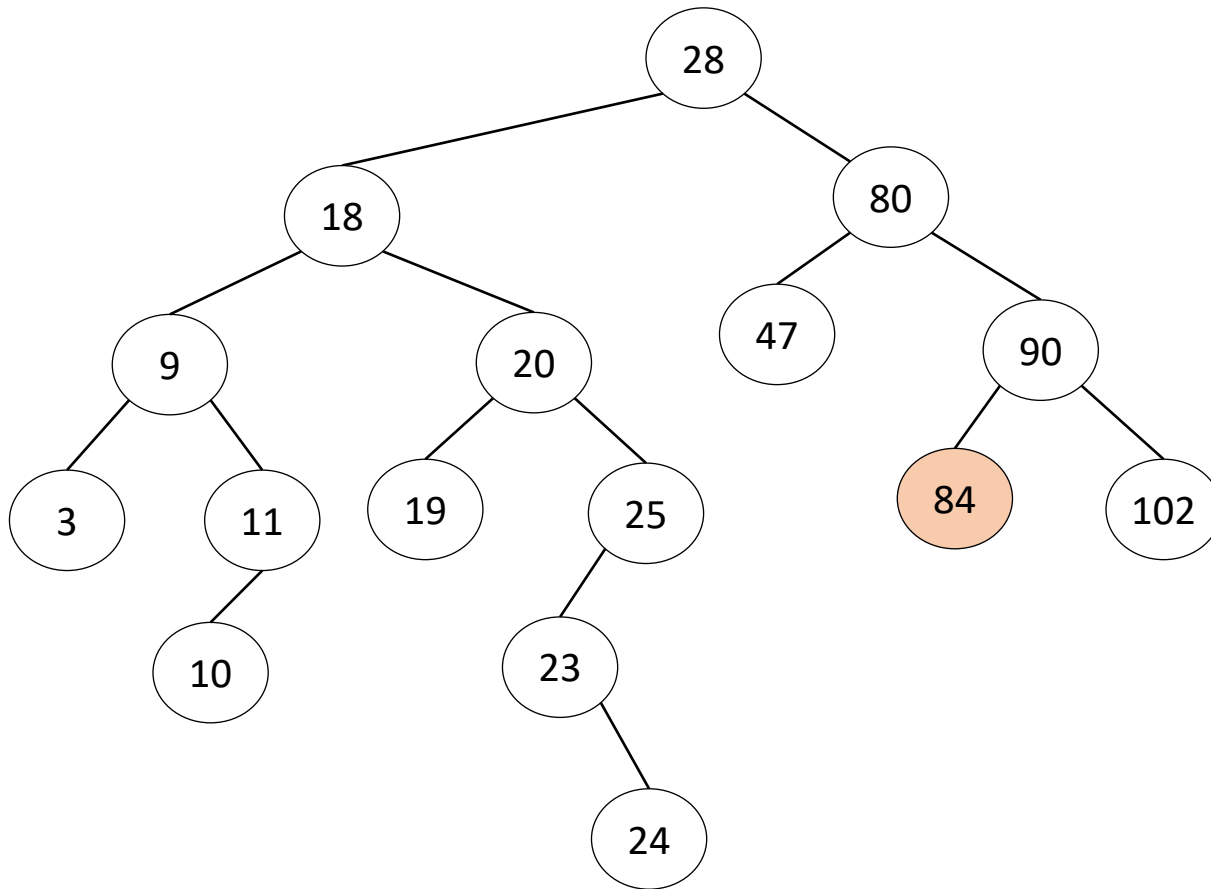
BST – Tree-Delete(T, k)

- Idea: remove item k
- Strategy: let z be the position of $\text{Tree-Search}(T, k)$. Remove z without creating “holes” in the tree
 - **Case 1: z has at most one child** (easier: removing z creates easily filled hole)
 - Replace z with subtree rooted at child
 - **Case 2: z has two children** (harder: removing z creates holes)
 - Let y be the next node that follows in an inorder traversal
 - y is guaranteed to be a leaf node (it is the leftmost node in the right subtree of z)
 - Swap z and y
 - Remove z
- Runtime is $O(h)$, where h is the height of the tree

BST – Tree-Delete(T, k)

Case 1(a): z has no children

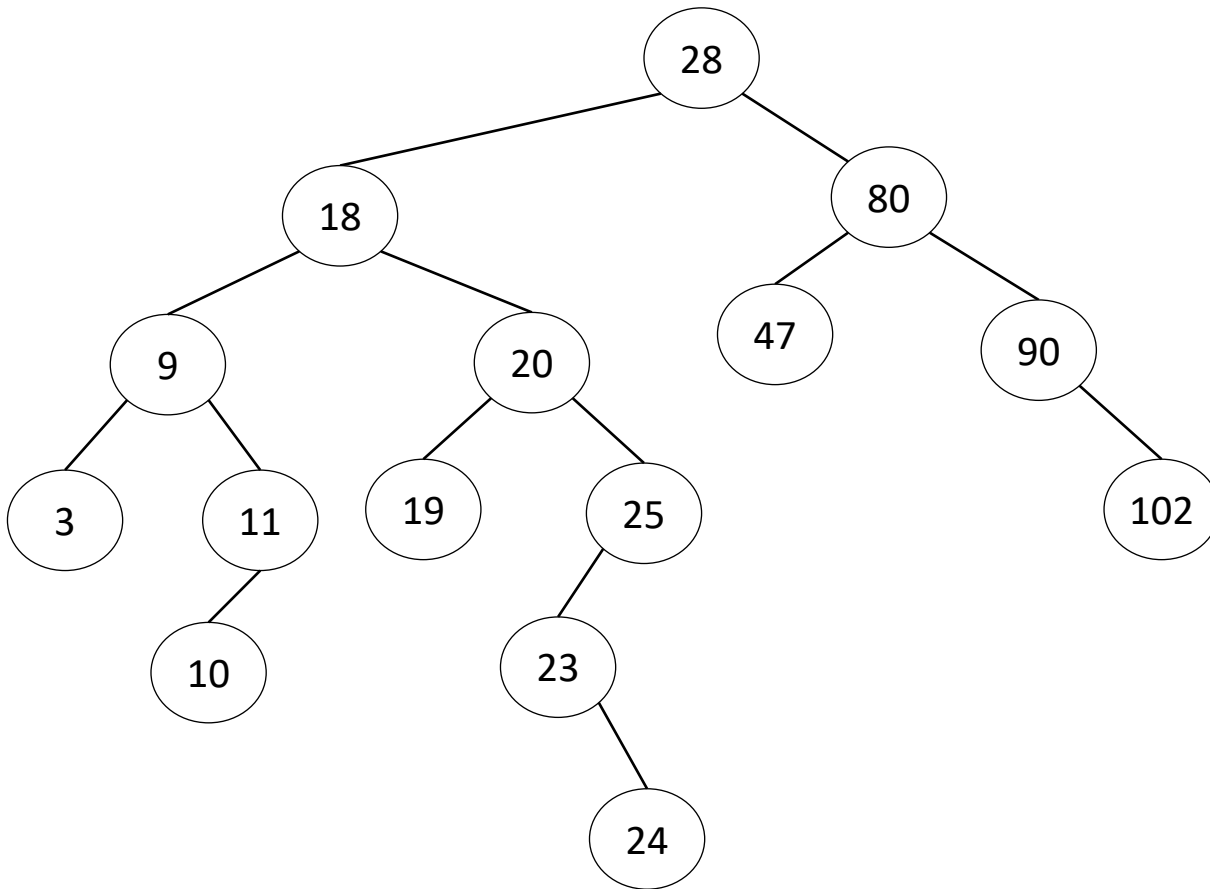
Ex: Delete 84



BST – Tree-Delete(T, k)

Case 1(a): z has no children

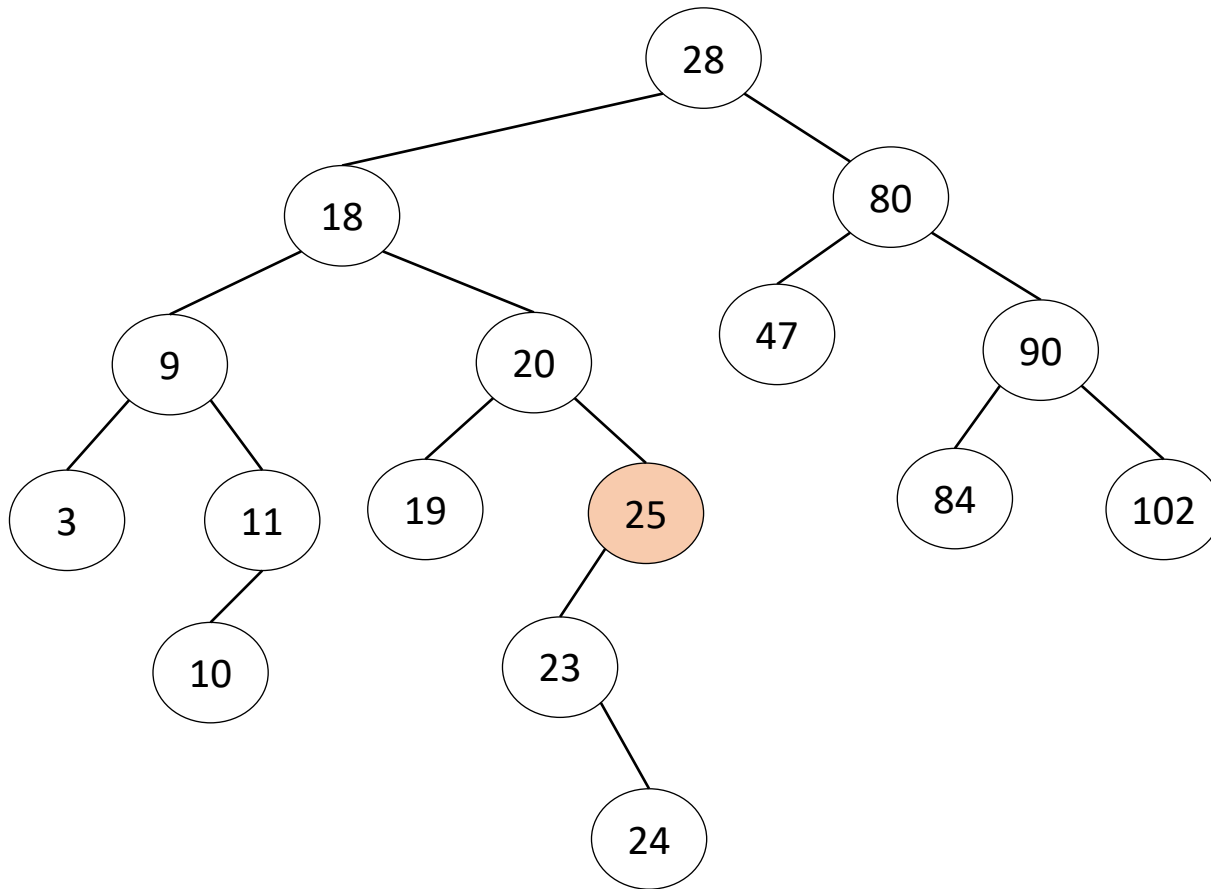
Ex: Delete 84



BST – Tree-Delete(T, k)

Case 1(b): z has one child

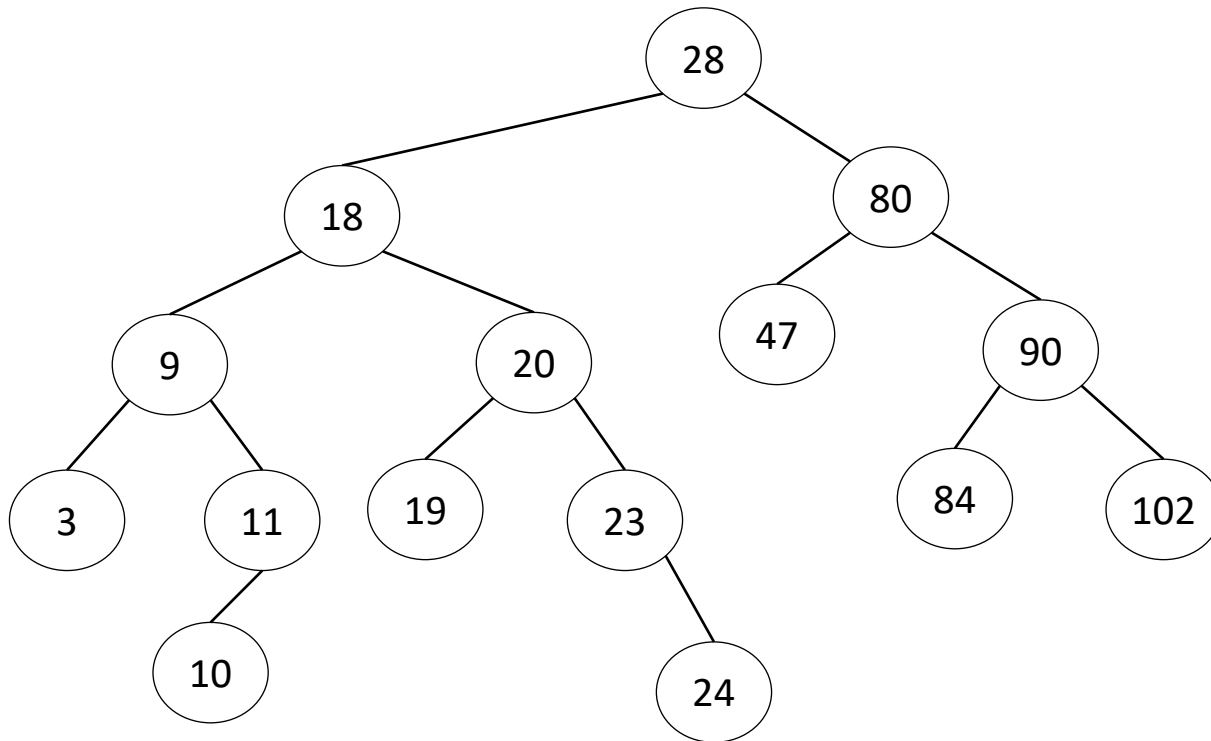
Ex: Delete 25



BST – Tree-Delete(T, k)

Case 1(b): z has one child

Ex: Delete 25



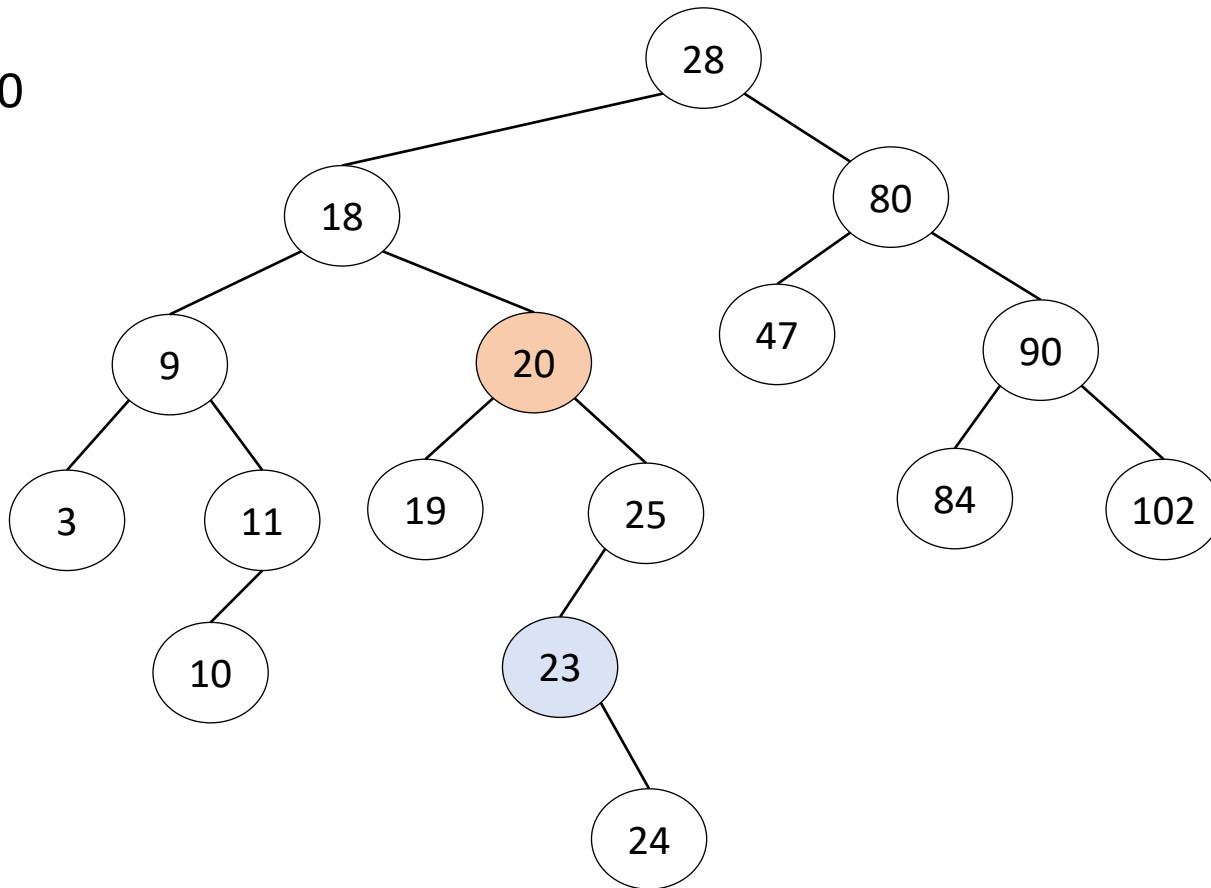
BST – Tree-Delete(T, k)

Case 2: z has two children

Find the first internal node y that follows z in an inorder traversal

Swap z and y; Remove z

Ex: Delete 20



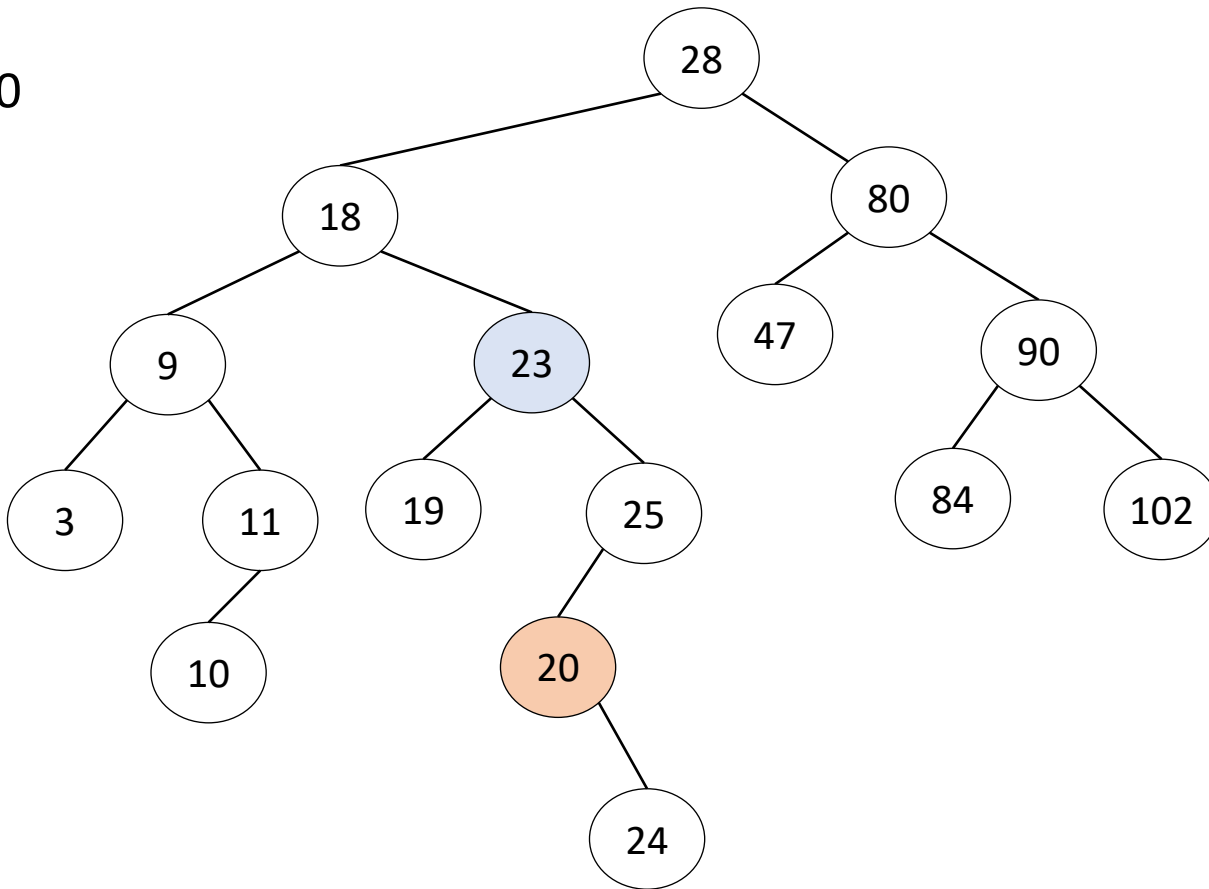
BST – Tree-Delete(T, k)

Case 2: z has two children

Find the first internal node y that follows z in an inorder traversal

Swap z and y; Remove z

Ex: Delete 20



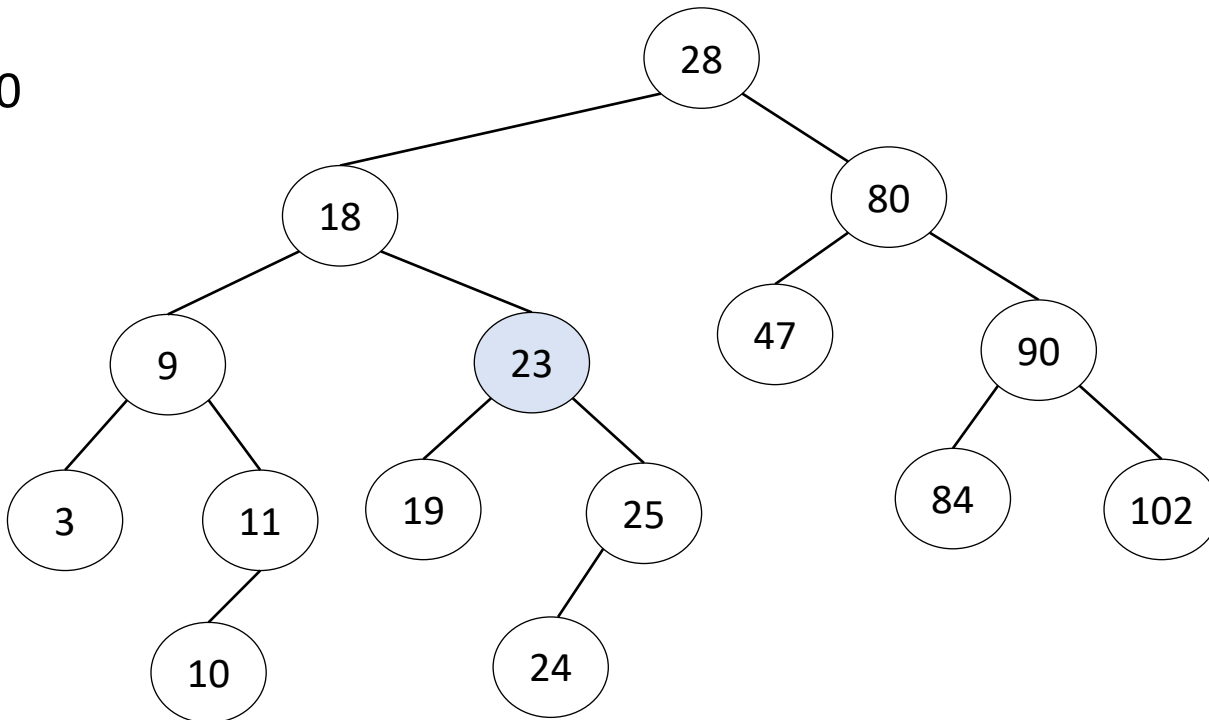
BST – Tree-Delete(T, k)

Case 2: z has two children

Find the first internal node y that follows z in an inorder traversal

Swap z and y; Remove z

Ex: Delete 20



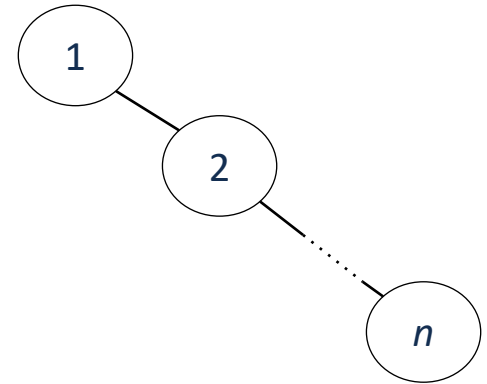
Performance of BST operations

- Space used for BST is $O(n)$
- Runtime of all operations is $O(h)$

What is h in the worst case?

- Consider inserting the sequence $1, 2, \dots, n - 1, n$
- Worst case height $h \in O(n)$.

How do we keep the tree balanced?



Other

- You are given two sorted integer arrays A and B such that no integer is contained twice in the same array. A and B are nearly identical. However, B is missing exactly one number. Find the missing number in B .
- You are given a sorted array A of distinct integers. Determine whether there exists an index i such that $A[i] = i$.